06.3 HHS PIA Summary for Posting (Form) / HRSA HSB Stem Cell Therapeutic Outcomes Database [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 5/18/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: N/A (See comments 240-97-0036)

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0068

5. OMB Information Collection Approval Number: 0915-0310

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Center for International Blood & Marrow Transplant Research Systems

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Nawraz Shawir (HRSA)- Alan Liu (CIBMTR)

10. Provide an overview of the system: The system collects and analyzes data on outcomes of allogeneic hematopoietic stem cell transplantation (HCT).   The Center for International Blood and Marrow Transplant Research at the Medical College of Wisconsin is the contractor for the Stem Cell Therapeutic Outcomes Database component of the C.W. Bill Young Cell Transplantation Program. As the Government contractor, the CIBMTR-MCW will provide aggregated public information to increase availability, safety, and effectiveness of stem cell therapies. The CIBMTR-MCW will report to the Government regarding activity of the C.W. Bill Young Cell Transplantation Program and transplant outcomes.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: N/A

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 1/4/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA BCRS NHSC Loan Repayment Application [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/15/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0037

5. OMB Information Collection Approval Number: Form Approved: OMB No. 0915-0127; NELRP (OMB #0915-0140) was sent to OMB for review on 1/25/11 and is currently waiting on final approval. Currently, it does not have a new expiration date. However, once this package is approved a new one will be issued. As a note, this package is still considered active because the request for renewal reached OMB before 1/31/2011.

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): NHSC-LRP ARTS

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Paul Davidson

10. Provide an overview of the system: NHSC-LRP ARTS has been developed as a web-based application process to enable health professionals to apply for the Loan Repayment Program to ensure BCRS staff is able to manage their operations in compliance with federal policy, procedure, and regulations.

The Loan Repayment Program recruits fully trained health care professionals in selected fields to work in NHSC community sites in return for assistance in their repayment of educational loans. It provides loan repayment assistance for 2 years, with options for continuing assistance after that. Both programs are competitive in which applicants go through a defined application process. In addition, there are supplemental forms that the applicants complete on their own or with third parties (banks, schools, community sites etc.). The applicant mails the application form and the supporting documentation back to Focal Point who manages the submitted application packets and forwards completed and mostly completed application packets to NHSC/BCRS.

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or

other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): Yes, HRSA NHSC-LRP ARTS shares IIF with UFMS. UFMS (Unified Financial Management System) is a business management tool that will provide relevant, reliable, and timely information to improve the efficiency and effectiveness of financial, business and operational functions. UFMS is, essentially, the HHS business management response to President Bush's Management Agenda, in which the President calls for more efficient, effective, and responsive government. The HHS Secretary believes that one way to accomplish this goal is to consolidate systems and eliminate redundancies across the Department.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The purpose of the National Health Service Corps (NHSC) Loan Repayment Program (LRP) is to attract and retain medical, dental, and mental health clinicians in eligible communities of need designated as health professional shortage areas. The information applicants supply will be used to evaluate their eligibility, qualifications and suitability for participating in the NHSC LRP. In addition, information from other sources will be considered (e.g., credit bureau reports and National Practitioner Data Bank queries). The applicant information contains PII. The following fields are mandatory: Name, address, telephone, email, SSN, DOB & Place of birth, gender, and citizenship status. Ethnicity and Race are optional fields. Disclosure of the information sought is voluntary; however, if not submitted, except for the replies to questions related to Race/Ethnicity, an application may be considered incomplete and therefore may not be considered for an award under NHSCLRP announcement.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Electronic Notice on the web page - Public burden statement and certification in the online application allows the applicant to adhere the PII consent.

Links on application :

https://lrpbcrs.hrsa.gov/
http://www.hhs.gov/Privacy.html
http://www.hhs.gov/Disclaimer.html

This is a U.S. Government Web site; its use is restricted to NHSC Loan Repayment Program users only. Any other use of this Web site may subject violators to criminal, civil, and/or administrative action. All information provided by you while on this Web site may be recorded, read, copied, audited, and disclosed by and to authorized personnel for official purposes. Access and use of this Web site by any person, whether authorized or unauthorized, constitutes consent to these terms and will be construed as a binding, legal signature. You are accessing a U.S. Government information system, which includes (1) this computer network, (2) all computers connected to this network, and (3) all devices and storage media attached to this network or to a computer on this network. This information system is provided for U.S. Government-authorized use only.Unauthorized or improper use of this system may result in disciplinary action, as well as civil and criminal penalties.By using this information system, you understand and consent to the following:You have no reasonable expectation of privacy regarding any communications or data transiting or stored on this information system. At any time and for any lawful Government purpose, the government may monitor, intercept, and search and seize any communication or data transitioning or stored on this information system. Any communication or data transitioning or stored on this information may be disclosed or used for any lawful Government purpose.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid control number. The OMB control number for this project is 0915-0127.

PUBLIC BURDEN STATEMENT

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this project is 0915-0127. Public reporting burden for this collection of information is estimated to average 1.5 hours per response for the applicants and 0.25 hours for the lenders, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to HRSA Reports Clearance Officer, 5600 Fishers Lane, Room 10-33, Rockville, Maryland, 20857.

Electronic notice.

I certify that the information given in this application is accurate and complete to the best of my knowledge and belief. I understand that it may be investigated and that any knowingly and willfully false representation, or concealment of a material fact, is sufficient cause for rejection of this application, or, if awarded loan repayment, that I am liable for repayment of all awarded funds and further that any false statement herein may be punished as a felony under U.S. Code, Title 18, Section 1001 and subject me to civil penalties under the Program Fraud Civil Remedies Act of 1986 (31 USC 3801- 3812, implementing regulations 45 CFR Part 79).

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: The NHSC-LRP ARTS consists of a multi-tier architecture using the Windows 2003 Advanced Server Operating System with a web-based front end, a second tier of application servers, and a third tier of Microsoft SQL Server 2005 database to store the Name, SSN, address, phone, and email of applicants.

Applicants and Vendors access the system via the Internet, and register for a login and password to ensure that responsibility for data can be attributed to an individual.

HRSA employees (and vendors/contractors) have access to NHSC-LRP ARTS through the HRSA intranet and can also access the system through the Internet when working out of the office.

Communications between system components use the TCP/IP protocol. Applicants and vendors must use approved COTS web browsers (Microsoft Internet Explorer version 6.0 and Netscape version 6.2 or a high version) to communicate with the NIS system web servers via secure http (https) using web server digital certificates and strong encryption (128 bit) to protect data. Internal users are currently using Internet Explorer 6.0 or higher.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 1/4/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA BCRS BL SEAMON Web Operations and Customer Relationship Management [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/15/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-02-02-1070-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): Covered under 09-15-0037

5. OMB Information Collection Approval Number: 0915-0230; BL Seamon does not have its own OMB number because it is directly linked to to another BCRS program/system...NHSC Recruitment & Retention Assistance Application.

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): BLSEAMON Web Operations and Customer Relationship Management (BWOC):

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Alicia Anderson, Director of Technology Operations; 301-577-0244, ext.40 and Philip Boroughs, Web Operations Manager; ext.20

10. Provide an overview of the system: Microsoft Dynamics CRM 4.0 is a fully integrated customer relationship management (CRM) system. CRM is used to manage, verify and compile the Site and Scholar contact data and track all correspondence through the scholar placement cycle for the BLS/RTSC .

Fedmeetings.net-

Fedmeetings.net is a password protected Web-based ColdFusion/SQL Server application that was created by BLS. The system provides BLS Conference staff and clients with real time conference data and reports pertaining to conference attendance, dates, registrant travel information, and conference location.

SVRE/NHSC Travel-

The purpose of the Site Visit and Relocation Web site is to provide real time information to clinicians, NHSC staff, NHSC/RTSC and NHSC/BLS staff regarding the clinicians in various stages of the placement and relocation cycle.   This includes an accurate listing of scholars and scholar information for each placement cycle. The system allows the clinicians to peruse available sites, request site visits as well as relocations. In addition, the NHSC and RTSC

placement advisors can review these requests and make approvals, review and track spending, etc.

NHSCRTSC.net/Vacancy Update Request-

This program is used to review current site vacancies and post new vacancies. System provides status of changes/no changes to vacancies and point of contact information. The information for this system lists the sites Uniform Data Service number, Site Address, Telephone number, Point of Contact information and the vacancies that are posted on the Job Opportunities List.

NHSCRTSC.net/Six-Month Verification (SMV)-

The Six-Month Verification online application allows RTSC administrators to view existing clinicians, e-mail or print copies of the Six Month Verification forms, and track outstanding forms.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): NHSC/RTSC and BLS/RTSC staff regarding the clinicians in various stages of the placement and relocation cycle along with site contact detail.

Fedmeetings.net-

The system provides BLS Conference staff and clients with real time conference data and reports pertaining to conference attendance, dates, registrant travel information, and conference location.

SVRE/NHSC Travel-

The system provides real time information to clinicians, NHSC staff, NHSC/RTSC and NHSC/BLS staff regarding the clinicians in various stages of the placement and relocation cycle.

NHSCRTSC.net/Vacancy Update Request-

This system is used by NHSC staff, NHSC/RTSC and NHSC/BLS staff to review current site vacancies and post new vacancies to the Job Opportunities List.

NHSCRTSC.net/Six-Month verification -

The six-month verifcation online application allows RTSC administrators to view existing clinicians, e-mail or print copies of the six-month verification forms and track outstanding forms.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: CRM is used to manage, verify and compile the Site and Scholar contact data and track all correspondence through the scholar placement cycle for the BLS/RTSC.

The CRM is also used to verify sites eligibility status, as well it keeps track of Site points of contacts address, telephone numbers, email addresses, UDS #, sites HPSA scores and HPSA ID.

The data is mandatory to the RTSC.

The system collects site point of contact address, telephone numbers; email addresses, general contact Information of conference participants, site information, details of travel.

There are a minimum number of required fields in the system to provide a high level service and to meet program goals.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) (1) BL Seamon Corporation (BLS) staff understands well, the need for maintaining confidentiality and privacy of individuals' data. With the implementation of any new system that potentially impacts data usage, we have in the past and will, as we move forward, provide notification to

impacted individuals [e.g., electronic notification, etc.]

(2) Notification of the collection of PII data is identified under the Privacy Act Notification Statement found in the Applicant Information Bulletins shared with the program participants. The Recruitment, Training and Support Center (RTSC) team at BLS follows a strict practice for maintaining the integrity of any PII data that may be provided to us. We adhere to the guidelines detailed in the Privacy Act, Title 5 of the U.S. Code, Section 552a, along with all applicable agency rules, regulations, and policies. These include, but are not limited to, the guidelines stated in the Health Resources and Services Administration

(HRSA) Bureau of Clinical Recruitment and Service Privacy Act Notification Statement for the NHSC Scholarship Program, as well as those documented in various other materials produced for the National Health Services Corps applicants, participants, and contractors.

(3) If major changes in disclosure or data usage should occur, and, therefore, warrant notification and additional consent, BLS will work with HRSA to ensure that we comply with all contracted expectations for maintaining appropriate consent documentation. Notification can be provided in the format deemed appropriate by HRSA [e.g., electronic, etc.]

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: While there are administrative, technical, and physical controls in place the system is undergoing its first C&A effort and the details of these controls will be defined as in place or planned.

Users do not have physical and/or logical access to stored data unless a need-to-know is determined, based on job tasks.

Currently there is a firewall preventing outside access to the data stored within the database.

IIF data is encrypted within the database

There are 5 administrators (Server, DBA, and WEB/Cold Fusion) that have access to the system and their access is limited to their job responsibilities.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA HSB Vaccine Injury Compensation Program [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/14/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: None

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0056

5. OMB Information Collection Approval Number: 0915-0282

6. Other Identifying Number(s): None

7. System Name (Align with system Item name): Vaccine Injury Compensation Program (VICP) Database

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Carole Marks

10. Provide an overview of the system: This database is used extensively to respond to congressional inquiries and to support ongoing litigation. It tracks case information from the filing of a claim with the U.S. Court of Federal claims until a decisions is made by the court and if necessary a payment is made by the Government.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The database collects

birthdates, names, addresses, medical, legal and payment information to support ongoing litigation. This information is not only federal contact data but personal health information. This information is used for internal purposes only. No PII is shared with anyone outside of this prorgram. Submission of this information is mandatory to participate in the program but participation in the program is not mandatory.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The personal information collected is not disclosed in any way to anyone outside of the program. It is used for tracking purposes and to support ongoing litigation only. We do not notify or obtain consent since the system has not had any major changes since the beginning. There are no notification processes in place to inform individuals about changes to the system, what information is collected, or how the information will be shared.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: The data collected in the system is stored on the sequel server which is on the HRSA network. There is a log in security access for each user.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA HSB C.W. Bill Young Cell Transplantation Program [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/10/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: SEE COMMENTS (240-97-0036)

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0068

5. OMB Information Collection Approval Number: 0915-0212

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA C.W. Bill Young Cell Transplantation Program

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Shelley Tims

10. Provide an overview of the system: NMDP uses its proprietary Search, Tracking, and Registry (STAR®) System as the critical system to collect donor and cord blood information, to manage and facilitate all patient searches, and to track detailed post-transplant clinical status. Data retention includes: donor demographic data, Human Leukocyte Antigen (HLA) typing data, search process data, Network center management data, and clinical outcome data.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): The C.W. Bill Young Cell Transplantation Program shares medical information in an attempt to find donor matches with recipients, however the C.W. Bill Young Stem Cell Transplantation Program does not share the associated information about the individual, and such information can not be obtained through other sources.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The C. W. Bill Young Cell Transplantation Program will collect medical information of patients needing a blood stem cell transplant, and on people who volunteer to donate blood stem cells. The information collected is used to match potential donors with those in need.

HRSA does not collect, maintain or disseminate IIF. The contractor for the C.W. Bill Young Cell Transplantation Program does collect IIF including name, address, and phone numbers. It is optional whether donors opt to provide their social security numbers and/or email addresses. All IIF are used to assist in locating a potential donor if they are found to be a match for a patient in need of a life saving blood stem cell transplant.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The IIF is given voluntarily from persons who register as potential donors of blood stem cells. The individual information is correlated to blood samples of that individual.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: The IIF is protected in transmission by using secure protocols. Individuals with access to the system have a user ID, password and are provided with two-factor authentication for remote access when deemed necessary.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OIT Electronic Handbooks [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/8/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-01-1060-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA Electronic Handbooks (EHBs)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Joseph Nevin

10. Provide an overview of the system: HRSA EHBs serve as a tool to ensure that the grants are managed efficiently and in compliance with mandated agency-wide and federal policies, procedures and legislation. Currently it provides automated support for Project Management, Planning/Solicitation, Submission, Award and Negotiation, Review and Selection, and Closeout phases in the grants office, program office, financial office and external organizations.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): EHB does not collect nor store PII data on individuals.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: HRSA EHBs serves as a

tool to ensure that the grants are managed efficiently and in compliance with mandated agency-wide and federal policies, procedures and legislation. Currently, it provides automated support for Project Management, Planning/Solicitation, Submission, Award and Negotiation, Review and Selection and Closeout phases in the grants office, program office, financial office and external organizations. EHB does not collect PII data on individuals.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) EHB does not collect PII data on individuals.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: EHB does not collect PII data on individuals.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steve R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA BHPr Children's Graduate Medical Education Payment Program [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/7/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-02-1320-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: 0915-0247

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Children's Graduate Medical Education Payment Program (CHGME PP) Database System (GME DS) and Web Application System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Malena Crawford

10. Provide an overview of the system: The GME DS is a system that: 1) receives initial applications from hospitals that indicate the expected volume and complexity of services provided, 2) determines the initial allocation of available funds to hospitals, 3)forwards initial payment data compiled from the system that has been downloaded onto an Excel spreadsheet to the Division of Grants Management Operations (DGMO) for the issuance of a Notice of Grant Award (NGA). 4) receives mid year reconciliation applications from the hospitals that finalize the volume and complexity of services, 5) recalculates the allocation of funds to hospitals, 6) forwards downloaded reconciliation payment data to DGMO for issuance of NGA, 7) generates reports for the various parties involved, and 8) maintains records of these activities. In the past these activities were conducted manually. The hospitals sent their applications via the mail on a disc which uploaded their applications to the system. The new modifications allow the hospitals to apply for funds via the web based application. The web system has been implemented and went live in April of 2008. The new system has decreased the application process time in half. Auditors can also access the Web system to conduct their annual audit of hospital applications.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The GME DS and web application are systems that: 1) receives initial applications from hospitals that indicate the expected volume and complexity of services provided, 2) collects information on the amount of FTE's that were trained in each hospital 3) auditors review applications and back up provided by hospital and reconcile the applications, 4)receive mid year reconciliation applications from the hospitals that finalize the volume and complexity of services, 5) recalculate the allocation of funds to hospitals and supply that information to each hospital, 6) generate reports for the various parties involved, and 9) maintain records of these activities.

The information collected is not personal information, the hospitals name, address and the amount of FTE's are included in the application only. There is no financial information included in the application. The financial information and calculations are done on the GME DS that is housed on the server in HRSA and resides only on the H drive within BHPR. There is no personal information on the FTE's being claimed.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No PII on this system

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA BHPr National Practitioner Data Bank/Healthcare Integrity and Protection Data Bank [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/7/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-01-1010-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0054

5. OMB Information Collection Approval Number: NPDB: 0915-0126, HIPDB: 0915-0239

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): National Practitioner Data Bank (NPDB) and Healthcare Integrity and Protection Data Bank (HIPDB)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Cynde Grubbs

10. Provide an overview of the system: The NPDB provides a nationwide database that makes adverse information on physicians, dentists, and other health care practitioners available to health care entities, hospitals, professional societies, and State licensing boards. The HIPDB is a national database that provides information on health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions. The NPDB-HIPDB co-exist as one integrated processing system.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): The NPDB/HIPDB program shares information with the Registered Entities, such as Hospitals and Managed Care Organization in accordance with Congressional mandate and Federal law. Federal law also mandates the disclosure of the information to specific user groups. The Data

Banks uses PII to uniquely/personally identity and match a report to a specific physician, dentist, or other practitioner.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: Federal law requires that health care entities, hospital, professional societies and State licensing boards report adverse information (health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions) on physicians, dentists and other health care practitioners to the NPDB/HIPDB. The information must identify the specific practitioner and is not voluntary.

Why We Collect Your Personal Information:

-Information is vital to the existence of the Data Banks. Without collecting the information contained in the Data Banks our mission could not be fulfilled. This information facilitates the tenants of our mission, including protecting the public and providing quality health care.

-We do not use the information for any other secondary purpose.

-We only collect the information necessary to fulfill our mission. No other information is collected.

What personal information we collect:

We only collect enough information to serve the mission of the Data Banks. We collect the following personal information on subjects of NPDB and HIPDB reports and queries.

-Name

-Date of Birth

-Social Security Number

-Mailing Addresses

-Phone Numbers

-E-mail Addresses

-Education Records

The information must identify the specific practitioner and is not voluntary.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The NPDB provides a nationwide database that makes adverse information on physicians, dentists, and other health care practitioners available to health care entities, hospitals, professional societies, and State licensing boards. The HIPDB is a

national database that provides information on health care related convictions and judgments, licensure actions, exclusions from government programs and other adjudicated actions. These entities are required to report information to this database, and the individual that is the subject of the report has the ability to receive a copy of the file. Data is shared only with the Registered Entities, and new entities are investigated before receiving access.

We communicate via data Bank Correspondence, quarterly Newsletters, Informational Web Site Postings, and User Review Panel meetings.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: NPDB/HIPDB was recertified and accredited in August 2010, including a Privacy Impact Assessment (PIA). NPDB/HIPDB relies on network security controls provided by the contractor managed off-site GSS. The NPDB/HIPDB implements firewalls, network and host base intrusion detection to secure its facilities. Boundary entry points are controlled by firewall rules and protected by Intrusion Detection Servers to prevent unauthorized access. All traffic to the NPDB-HIPDB web servers is encrypted using 128-bit SSL in the production environment. The NPDB-HIPDB system uses pay.gov to process credit card transactions. It is an Internet system where the NPDB-HIPDB originates Secure Hyper Text Transfer Protocol (HTTPS) requests for billing and receives HTTPS responses.

All PII is secured through the use of a secure commercial facility. Physical controls at this facility include sign in logs, badges, hand scan, man trap, and locked cages. All transmission of PII is secured via 128 bit encrypted FIPS 140-2 compliant mechanisms.

The NPDB-HIPDB system supports external (end-user) and internal user groups that are controlled by permissions, rights, and level of access. External users must enter a valid User Id, Password, and Databank Identifier in order to access they system.

Employees of the covered entities are advised of the legal consequences of misuse of NPDB/HIPDB information. NPDB-HIPDB personnel (internal users) are briefed on the sensitivity of NPDB-HIPDB information and the requirements for its protection. Prior to gaining access, employees are required to sign the NPDB-HIPDB Non-Disclosure Statement, acknowledging understanding of their responsibilities and consequential penalties for non-compliance. External users (customers) are required to sign registration forms before they are granted access to the system. Upon accessing the web site, users are also informed, via sign-on

warnings, that unauthorized use can subject the user to fine and imprisonment under Federal Statute. The contractor shall comply with existing federal and departmental laws, regulations, and requirements.

Physical access controls such as, man traps with biometric scanners, badges, etc. in place.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name:

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA BHPr The Health Education Assistance Loan/HEAL On-line Processing System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/7/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-02-1040-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0044

5. OMB Information Collection Approval Number: 0915-0108: Heal Regulations, 0915-0204: Heal Physician's Certification of Borrowers Total and Permanent Disability, 0915-0036: Heal Lenders Application for Insurance Claim Form and Request for Collection Assistance Form, 0915-0034: Heal Forms (Lenders App. for Contract of Fed. Loan Insurance, Borrower's Deferment Request, Borrower Loan Status Update, Loan Purchase/Consolidation), 0915-0043: Heal Forms (Repayment Schedule form, Call Report)

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Health Education Assistance Loan Program (HEAL) Online Processing System (HOPS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Denise Sorrell

10. Provide an overview of the system: HOPS is an automated system that tracks and maintains HEAL-related loan information. HEAL information consists of: Borrowers; Loans; Claims; Litigations against defaulted loans; Lenders; and Educational Institutions receiving loan funds. Loan servicing organizations use HOPS information to update and verify the accuracy or status of loan guarantees.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): Loan Servicer personnel for verification of loan data. HEAL and Division of Financial Operations staff to process claims and claim payments.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The purpose of the system is 1) to identify students participating in the HEAL program 2) to determine eligibility of loan applicants and to compute insurance premium for federal insurance 3) to monitor the loan status of HEAL recipients, which includes the collection of overdue debts owed under the HEAL program 4) to compile and generate managerial and statistical reports 5) process claims and 6) produce an annual report that contains aggregate information but no individual borrower can be identified in this report. The categories of records in the system contains name , SSN, birth date, demographic background, educational status, loan location and status, and financial information about the individual for whom the record is maintained, lender and school identification. Disclosure of the applicant's SSN is mandatory for participation in the HEAL program as provided for by Section 4 of the Debt Collection Act of 1982. Submission of PII is mandatory Applicant Form HRSA-700 states the SSN will be used to verify the identity of the applicant and as an account number throughout the life of the loan to record necessary data accurately. Applicants are advised that failure to provide his/her SSN will result in the denial of the individual to participate in the HEAL program.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The HEAL Program obtains PII only from the HEAL Servicers and does not obtain PII directly from individuals. The PII information is used for identification when the program receives claim submissions. Section 709(c)(2) of the Act is directed that HHS may release information on borrowers excluded for Medicare and Medicaid to relevant federal agencies, schools, school associations, professional associations, state licensing board, hospitals that borrowers are associated with and other relevant organizations. We can release defaulters name, SSN, last known address, name and location of school attended and amount of debt. To find out if the system contains records about an individual the system manager is contacted by a request in person that requires at least one tangible identification card; or request by mail containing the name and address of the requester, birth date, at least one tangible identification card, and signature. The HEAL Regulations do not state anything with regard to PII. Each of our Servicers handle PII differently.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: HEAL-HOPS was accredited and recertified in November 2009. HEAL relies on network security controls provided by the HRSA GSS. The system uses strong encryption for all communications (HTTPS) from the time the user logs on until they log off. Usernames and passwords are sent encrypted as well as all data transferred during the session. This is accomplished using Secure Sockets Layer (SSL) technology. PII data fields in the HOPS system are encrypted while the data is at rest. The system is housed in a government facility with physical controls. Access to the HEAL office space is controlled with a building pass card and cipher locks. PII is transmitted to HRSA using encrypted, secure protocols. The concept of "least privilege" provides users a minimal set of system access rights based on their role. Access to additional resources or information is granted upon approval by the resource owner (supervisor). Unique UserIDs and passwords permit only authorized users to access the system. Select users are individually assigned write, create and update privileges to loan data based on their functional role. Accounts are reviewed annually to ensure that least privilege is granted, and roles and responsibilities have not changed. OIT provides connectivity to the HRSA LAN access to the HEAL-HOPS System by authorized Internal Users, and by authorized Internet Access for External Users. There is no information available for use by the general public. An "inactivity time out" capability disables unattended computers to prohibit unauthorized access to PII. All authorized system users agree to the systems "Rules of Behavior" during the log in process. The Statement of Work (SOW) provides guidance for contractors to comply with HEAL-HOPS security requirements. The contractor shall comply with existing federal and departmental laws, regulations, and requirements. All contractors and federal users are now required to sign a Rules of Behavior agreement approved by the HRSA /OIT security section.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA HSB OPAIS 340B Pricing System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/7/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-02--1450-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA OPA Pricing System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Devin Williams

10. Provide an overview of the system: The HRSA OPA 340B Pricing System is an Application that calculates the actual 340B ceiling prices for all drugs in the 340B Drug Discount Program. The calculated ceiling prices are classified information and to be used only by a select number of people within the Office of Pharmacy affairs. Thus, the Pricing System will be hosted on a locked computer with no connection to the Internet located in HRSA headquarters and secured by HRSA OIT. The Pricing System will support approximately 3-5 users who require password access to activate both the computer and the Pricing System.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

**30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: System contains pharmacuetical pricing data. Output of data is local only and copies are either destroyed or maintained under lock and key. No dissimination of data outside of OPA and is not subject to IIF.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA HSB OPAIS 340B Database [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/4/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-02-02-1450-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA Office of Pharmacy Affairs Information System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Patrick Neubert

10. Provide an overview of the system: The Office of Pharmacy Affairs Information System (OPAIS) consists of a Public Website containing databases for 340B Covered Entities, Manufacturers, and Contract Pharmacies.

The 340B Public Website is an Application that stores information on the Covered Entities, Contracted Pharmacies, and Manufacturers which are participating in the 340B Drug Discount Program. This information is for public dissemination most especially for Manufacturers and Wholesalers who reference the 340B Public Website to check if a Covered Entity or Contracted Pharmacy is participating in the 340B Drug Discount program and eligible for discounted prices. The Public Website supports approximately 15,000 public users who query the system for information, 300-400 public users who log into the system to verify their address information, and approximately 20 administrative users who log into the administrator section of the site for record entry and maintenance purposes. The Public Website is located on two servers (a database and web server) at HRSA OIT headquarters in Rockville, MD. HRSA OIT is responsible for the backup and maintenance of both servers.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass

through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The system collects registration and recertification information for 340B program participants (i.e.; "covered entities"). This information is used to manage the covered entities participation status. There is no IIF or PII included in the data collected.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA Integrated Clearinghouse System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/4/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-02-1400-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0067

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA Information Center (IC) Integrated Clearinghouse System (ICS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: David Bowman

10. Provide an overview of the system: The system is used by people requesting information to be sent to them from the HRSA Information Center. It collects information about what is being ordered and where and to whom to send it.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): Designated project staff and relevant/appropriate HRSA staff may be able to access PII for customer service purposes. The purpose information might be shared amongst HRSA IC phone ordering staff/supervising HRSA staff is to ensure good customer service. Only the name, address, phone number, e-mail address or personal URL, voluntarily supplied by callers or web requesters, given at the time of order, is kept for a period up to 1 year to ensure that if a person contacts the HRSA IC with questions about their previous orders, our Information Specialists are able to identify them and assist them in getting the materials they want.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The system collects PII information necessary (name, address, telephone number, e-mail address, personal URL, as given by the requestor at the time of request) to address customer service issues and to disseminate free informational materials to people requesting it via phone, fax, e-mail, Web, or in person. All information is provided voluntarily. The information does not include a person's personal health information, education, social security number, financial transactions, medical history, and criminal or employment history.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The information collected from requestors by information specialists from phone or web requests is provided voluntarily when ordering materials. Requestors provide this contact information for the express purpose of making it possible for the Information Center to send (and when appropriate, follow up on) requested materials, and for the requestor to receive them. The HRSA IC/ICS maintains a SORN, posted on the HRSA enterprise site, to give the public information about what information is collected by the HRSA IC/ICS, how it is stored and protected, and how they may make contact with program officials should they have any concerns regarding the information they voluntarily supplied.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: Policies are in place which limit the retention of personal information from individuals who obtain publications at the HRSA Information Center to a one-year period, after which this information is purged from the database on which it is housed. The information is collected from requestors by information specialists or requestors voluntarily entering the information on a Website for ordering materials. Requestors voluntarily provide contact information for the mutually expressed purpose of making it possible for the Information Center to send (and when appropriate, follow up on) requested materials, and for the requestor to receive them. Note that this information is captured and kept for this period of time to better address customer issues, including previous requests for order information, and to correct customer orders (return mail, etc.) This information is kept secure by means of several technical and physical security safeguards and procedures, including: key card access is required

for all employees to physically access the server on which the information is stored; employee access to the system is controlled and protected by requirements that include having a proper user id and password; while the system itself resides in a secure environment protected by firewall and an intrusion detection system. The contractors also review security logs on a regular basis.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA HSB Division of Transplantation Research Information System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   Yes

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/3/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: No

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0055

5. OMB Information Collection Approval Number: 0915-0157

6. Other Identifying Number(s): No

7. System Name (Align with system Item name): Division of Transplantation Research Information System (DTRIS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Mesmin Germain

10. Provide an overview of the system: The Organ Procurement and Transplantation Network was established by the National Organ Transplant Act of 1984 (NOTA), and the HRSA Division of Transplantation (DoT) has been designated by the Secretary of the Department of Health and Human Services to administer the program.

The OPTN collects pre- and post-transplant clinical information of patients on the national patient waiting lists and living organ donors, histocompatibility information on donated organs, and records of matches run between donated organs and waiting list patients. This information includes SSN, names, and state of residence for patients and included additional address and contact information for living donors. This information has been collected in various forms since the inception of the OPTN in 1987.

This information is collected as a function of the OPTN process of matching donated organs to potential transplant recipients. The OPTN is the only system in the country that serves this function for heart, liver, lung, kidney, pancreas, and intestine transplants.

Information is collected by OPTN member transplant centers, histocompatibility labs and organ procurement organizations (OPO) and is then submitted to the OPTN system for matching. Submission of this information to the OPTN is mandatory for OPTN member transplant centers, histocompatibility labs and OPOs. The collection of this information from individuals takes place at OPTN member transplant centers and OPOs. Concern about individual information included in the OPTN data set may be sent to the OPTN contractor, which would then contact

the relevant OPTN member to make any corrections or changes that would be appropriate. The OPTN does not have direct communication with patients.

The data collected by the OPTN are also used for analysis by HRSA Division of Transplantation (DoT) and HRSA DoT contractors, such as the Scientific Registry of Transplant Recipients (SRTR), and are also shared through approved data use agreements with other Federal agencies such as the Centers for Medicare and Medicaid Services (CMS) and the National Institutes of Health (NIH). HRSA regularly reviews the data collection processes of the OPTN, including linkages of the OPTN data set with other data bases for purposes of validation and enhancement, and confirms that it meets the criteria of the Common Rule for exemption of IRB oversight under the Public Benefit and Service Program provisions of 45 CFR 46.101(b)(5).

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):
Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):
N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The OPTN collects pre- and post-transplant clinical information of patients on the national patient waiting lists and living organ donors, histocompatibility information on donated organs, and records of matches run between donated organs and waiting list patients. This information includes SSN, names, and state of residence for patients and included additional address and contact information for living donors. Information is collected by OPTN member transplant centers, histocompatibility labs and organ procurement organizations (OPO) and is then submitted to the OPTN system for matching.

Information is obtained from medical personnel at organ transplantation centers. Submission of this information to the OPTN is mandatory for OPTN member transplant centers, histocompatibility labs and OPOs. The information collected in UNetSM is for the continued operation and improvement of the National Organ Procurement and Transplantation Network (OPTN). This information assists transplant centers, organ procurement organizations and histocompatibility laboratories throughout the United States with matching, transporting and

sharing organs. The information entered into UNetSM is used to match transplant candidates to organ donors; electronically notify transplant programs of available compatible organs; and collect data on transplant candidates, deceased and living donors, eligible donors, and transplant recipients. The submission of personal information is mandatory for the OPTN/UNOS member institutions.

The data stored in the system is used to perform statistical research to assist HRSA in meeting with its responsibility in monitoring the effectiveness of the organ transplant programs based on the final rule which is to help achieve the most equitable and medically effective use of human organs that are donated in trust for transplantations.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) HRSA, the OPTN, and HRSA contractors qualify as "public health authorities" for the purposes of the Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulation, "Standards for Privacy of Individual Identifiable Health Information" (Privacy Rule), 45 CFR Parts 160 and 164. Under 45 CFR 164.512, a "covered entity" may disclose an individual's protected health information without the individual's written consent or authorization when such a disclosure is made to a "public health authority" that is authorized by law to collect information for the purpose of preventing or controlling disease, injury, or disability. Given the legal authority and mandate of the OPTN, it has been determined that a "covered entity" may disclose certain individually identifiable health information to the OPTN without written consent or authorization of the individual, when the disclosure furthers the OPTN's statutory purposes and functions.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: Operational controls:

Access to the DTRIS Database is restricted to four people via a valid User-Id and password. The workstation hosting the DTRIS is not available via any network.

Physical security of the Parklawn Building, including security guards for limiting access, as well as monitoring environmental including smoke and fire alarms is provided by the Government Services Administration (GSA).

The workstation is located in a secured room with cipher lock access. Because it is not connected to any network or other computers, no firewall is needed.

Physical Controls:

The CD-ROMs and DLT tape cartridges are received via courier and are kept in a locked room. Access to the data is limited to four individuals.

The data is kept on the hard disk of one workstation, in a locked room. The workstation is not connected to the network. No data is

transmitted directly from the workstation. No IIF is printed from the DTRIS; analytical output is copied to diskette, and printed outside the secured area of the DTRIS.

The data is not backed up for contingency purposes. In the event of a disaster, the authorized user will obtain another copy of the data from the OPTN.

Technical controls:

The DTRIS use Windows 2000 Server login capabilities for User ID and Password verification.

No access controls, outside of Identification and Authentication (I & A) are being implemented by the operating system.

The DTRIS is not accessible via any network. Since the DTRIS is a stand-alone workstation, no technical Public Access Controls are in place.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA HSB National Organ Procurement and Transplantation Network [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/3/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-02-02-1030-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0055

5. OMB Information Collection Approval Number: 0915-0157

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Organ Procurement and Transplantation Network (OPTN)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Mesmin Germain

10. Provide an overview of the system: The Organ Procurement and Transplantation Network was established by the National Organ Transplant Act of 1984 (NOTA), and the HRSA Division of Transplantation (DoT) has been designated by the Secretary of the Department of Health and Human Services to administer the program.

The OPTN collects pre- and post-transplant clinical information of patients on the national patient waiting lists and living organ donors, histocompatibility information on donated organs, and records of matches run between donated organs and waiting list patients. This information includes SSN, names, and state of residence for patients and included additional address and contact information for living donors. This information has been collected in various forms since the inception of the OPTN in 1987.

This information is collected as a function of the OPTN process of matching donated organs to potential transplant recipients. The OPTN is the only system in the country that serves this function for heart, liver, lung, kidney, pancreas, and intestine transplants.

Information is collected by OPTN member transplant centers, histocompatibility labs and organ procurement organizations (OPO) and is then submitted to the OPTN system for matching. Submission of this information to the OPTN is mandatory for OPTN member transplant centers, histocompatibility labs and OPOs. The collection of this information from individuals takes place at OPTN member transplant centers and OPOs. Concern about individual information included in the OPTN data set may be sent to the OPTN contractor, which would then contact

the relevant OPTN member to make any corrections or changes that would be appropriate. The OPTN does not have direct communication with patients.

The data collected by the OPTN are also used for analysis by HRSA Division of Transplantation (DoT) and HRSA DoT contractors, such as the Scientific Registry of Transplant Recipients (SRTR), and are also shared through approved data use agreements with other Federal agencies such as the Centers for Medicare and Medicaid Services (CMS) and the National Institutes of Health (NIH). HRSA regularly reviews the data collection processes of the OPTN, including linkages of the OPTN data set with other data bases for purposes of validation and enhancement, and confirms that it meets the criteria of the Common Rule for exemption of IRB oversight under the Public Benefit and Service Program provisions of 45 CFR 46.101(b)(5).

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): United Network for Organ Sharing (UNOS) will share information with HRSA, SRTR and with Medicare according to Federal Law for the purpose of candidate/patient/donor identification.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The OPTN collects pre- and post-transplant clinical information of patients on the national patient waiting lists and living organ donors, histocompatibility information on donated organs, and records of matches run between donated organs and waiting list patients. This information includes SSN, names, and state of residence for patients and included additional address and contact information for living donors. Information is collected by OPTN member transplant centers, histocompatibility labs and organ procurement organizations (OPO) and is then submitted to the OPTN system for matching.

Information is obtained from medical personnel at organ transplantation centers. Submission of this information to the OPTN is mandatory for OPTN member transplant centers, histocompatibility labs and OPOs. The information collected in UNetSM is for the continued operation and improvement of the National Organ Procurement and Transplantation Network (OPTN). This information assists transplant centers, organ procurement organizations and

histocompatibility laboratories throughout the United States with matching, transporting and sharing organs. The information entered into UNetSM is used to match transplant candidates to organ donors; electronically notify transplant programs of available compatible organs; and collect data on transplant candidates, deceased and living donors, eligible donors, and transplant recipients. The submission of personal information is mandatory for the OPTN/UNOS member institutions.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) HRSA, the OPTN, and HRSA contractors qualify as "public health authorities" for the purposes of the Health Insurance Portability and Accountability Act of 1996 (HIPAA) regulation, "Standards for Privacy of Individual Identifiable Health Information" (Privacy Rule), 45 CFR Parts 160 and 164. Under 45 CFR 164.512, a "covered entity" may disclose an individual's protected health information without the individual's written consent or authorization when such a disclosure is made to a "public health authority" that is authorized by law to collect information for the purpose of preventing or controlling disease, injury, or disability. Given the legal authority and mandate of the OPTN, it has been determined that a "covered entity" may disclose certain individually identifiable health information to the OPTN without written consent or authorization of the individual, when the disclosure furthers the OPTN's statutory purposes and functions.

The information is taken from medical records. It is used to correlate those needing organs with donor organs as they become available based on strict guidelines. The UNetSM System is accessed by specified employees of the OPTN/UNOS member institutions. Those member institutions are notified by UNOS when a major change occurs in the UNetSM System. UNOS does not collect and maintain contact information for individuals. Therefore, consent and notification of collection of data are performed by the member institutions who have direct contact with the individuals on whom IIF is being collected.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: To support the OPTN business function and protect PII, OPTN uses a three-tiered system to protect PII (1) the top layer is a web browser (examples include Microsoft's Internet Explorer or Mozilla's Firefox) using SSL technology with 128 bit encryption to protect the data transfer. (2) layer two or the middle layers are the web

servers, in this instance OPTN uses multiple web servers running Microsoft's "Network Load Balancing" software to provide redundancy. (3) The third and final layer is the data layer which includes application servers and SQL database servers where OPTN uses Microsoft's Clustering software for the SQL servers. OPTN (developers of the system) made available a "system security policy and rules of behavior document" for all users. The rule of least privilege is executed by having the least amount of ports open, and running the least amount of protocols possible to accomplish tasks. OPTN employs virus protection mechanisms at critical information system entry and exit points. Finally, system accounts are reviewed yearly.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA OIT eRoom [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/3/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-09-02-1360-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): eRoom

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Alice Kroliczak

10. Provide an overview of the system: eRoom is a COTS product designed to provide secure spaces (called eRooms) to support collaboration efforts.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: Users of individual eRooms determine what information is placed in the eRoom, what individuals have access to the information and how the individuals who have access to that eRoom use the information. As a matter of policy, users are to refrain from putting any PII (IIF) info into an eRoom and agree to rules of behavior that clearly specify this restriction.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OIT General Support Systems [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 3/3/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-02-00-02-1080-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA OIT General Support System (GSS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Lynn Dennie

10. Provide an overview of the system: Provides common connectivity and file and print services.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: This system does not collect or store PII data. However, the system stores and manages access to shared files on the system disk that contain PII data for multiple major applications (MAs) residing on the GSS.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The GSS does not collect or process any PII data. Each MA that collects and uses PII data has its own process to notify and obtain consent from the individuals whose PII data is in the system.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: Security controls for this system include redundant Cisco firewalls; redundant intrusion monitoring systems including Securify and Proventia; 24x7 monitoring of the perimeter defenses; antivirus systems with automatic updates for both workstations and servers from McAfee and Symantec; Ad-aware anti-spyware software; and routine certification and verification activities. Access is limited to those requiring access to the system and is protected by username/password controls with enforced complexity requirements. Physical controls include card reader access to authorized individuals and cameras for monitoring and recording Data Center activity.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA OIT National Hansen's Disease Program (NHDP) General Support System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   Yes

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 2/24/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): National Hansen's Disease Program (NHDP) General Support System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Louis Banner

10. Provide an overview of the system: A general support system used to assist internal staff in the performance of duties as it relates to the mission of providing service to the patients and population effected by Hansen's Disease.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): If system shares or discloses PII, Whom and What purpose

Internal Staff, performance of duty to provide mission service to patients

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: (1) Medical examination,

diagnostic and treatment data; information for proof of eligibility; social data such as address and birth date; disease registers, such as Hansen's disease and tumor and surgical procedure registers; treatment logs, medical summaries and correspondence (for example, family to doctor, doctor to doctor, doctor to clinic).

(2) The purposes of this system are:

To serve as a basis for planning patient care and for continuity in the evaluation of the patient's condition and treatment to furnish documentary evidence of the course of the patient's medical evaluation, treatment and change in condition during the hospital stay, ambulatory care or emergency visit, or while being followed in a facility-based home care program;

To document communications between the responsible practitioner and any other health professional's contribution to the patient's care and treatment in order to assist in protecting the legal interests of the patient, the hospital or clinic, and responsible practitioners;

To provide data for use in facility management, continuing education, Department initiatives, quality assurance activities and research at the National Hansen's Disease Program, Baton Rouge, Louisiana

(3) Data collected does contain PII--The system (Visa) that collects PII is covered under the Department of Veterans Affairs' PIA

(4) Voluntary

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Patients are provided written notification at the time of service as to the data collected, potential use and disclosure.

A record may be disclosed for a research purpose, when the Department: (a) Has determined that the use or disclosure does not violate legal or policy limitations under which the record was provided, collected, or obtained; (b) has determined that the research purpose (1) cannot be reasonably accomplished unless the record is provided in individually identifiable form, and (2) warrants the risk to the privacy of the individual that additional exposure of the record might bring; (c) has required the recipient to--(l) establish reasonable administrative, technical, and physical safeguards to prevent unauthorized use or disclosure of the record, and (2) remove or destroy the information that identifies the individual at the earliest time at which removal or destruction can be accomplished consistent with the purpose of the research project, unless the recipient has presented adequate justification of a research or health nature for retaining such information, and (3) make no further use or disclosure of the record except--(A) in emergency circumstances affecting the health or safety of any individual, (B) for use in another research project, under these same conditions, and with written authorization of the Department, (C) for disclosure to a properly identified person for the purpose of an audit related to the research project, if information that would enable research subjects to be identified is removed or destroyed at the earliest opportunity consistent with the purpose of the audit, or (D) when

required by law; (d) has secured a written statement attesting to the recipient's understanding of, and willingness to abide by these provisions.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: Safeguards:

Authorized Users: Health care practitioners, and other allied health personnel, medical and allied health students and administrative personnel for determination of eligibility for care and facility management; qualified research personnel with approved protocol; Public Health Service Commissioned Personnel Operations Division; and Public Health Service Claims Officer.

Physical Safeguards: Magnetic tapes, discs, other computer equipment and other forms of personal data are stored in areas where fire and life safety codes are strictly enforced. All documents are protected during lunch hours and nonworking hours in locked file cabinets in double-locked storage areas.

Procedural Safeguards: A password is required to access the terminal and a data set name controls the release of data only to authorized users. All users of personal information in connection with the performance of their jobs protect information from public view and from unauthorized personnel entering an unsupervised office. Access to records is strictly limited to those staff members trained in accordance with Privacy Act safeguards. The contractor is required to maintain confidentiality safeguards with respect to these records. These safeguards are in accordance with DHHS Chapter 45-13 and supplementary Chapter PHS.hf: 45-13 of the General Administration Manual, and Part 6 of the DHHS Information Resources Management Manual. The Memorandums of Agreement between the successor organizations and the Public Health Service require the successor organizations to comply with the Privacy Act. Public Health Service and HHS guidelines have been provided to each successor organization.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OIT Clarity [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   Yes

If this is an existing PIA, please provide a reason for revision: Not Applicable

1. Date of this Submission: 2/24/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-03-00-02-1010-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Clarity

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Bianca Bellinger

10. Provide an overview of the system: The Health Resources and Services Administration (HRSA) established an Enterprise Project Lifecycle (EPLC) Framework to deploy information technology projects. The HRSA EPLC provides project managers with expectations, guidelines and tools to effectively manage information technology project. Clarity is a key project management tool to be utilized by HRSA project managers.

13. Indicate if the system is new or an existing one being modified: New

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: No PII will be collected, maintained or passed through the system

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No PII will be collected, maintained or passed through the system

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: No PII will be collected, maintained or passed through the system

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA BCRS Nursing Information System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 2/24/2011

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-02-02-1070-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-15-0037; 09-15-0038

5. OMB Information Collection Approval Number: NELRP OMB 0915-0140 (expiration date is 1/31/2011);   NSP OMB 0915-0301 (expiration date is 9/30/2012)

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Nursing Information System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Jerry Locklear/Kurt Clemente

10. Provide an overview of the system: The Nursing Information System (NIS) provides automated support for the Nursing Education Loan Repayment Program (NELRP) including submission and processing of approximately 4,000 on-line applications yearly. The system is to provide a program-wide case management capability allowing the tracking of the status of NELRP applicants and participants from initial electronic application submission, associated applications evaluation, ranking and obligation of awards or disposition of unfunded applications, through completion of each participant's service contract. It is to support an electronic case file for full automation of participant requirements including submission of 6 month employment verification forms and the processing of the optional third year amendment applications. The system provides access to portions of the database to enable work required in the external environment for applicants/participants and in the internal environment for application and case management processing by NELRP staff, the HRSA Call Center, a processing support contractor, and the Office of Legal and Compliance.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): HRSA OIT for the HGDW. The original IIF is found only in NIS. Some systems share information provided by NIS, but since NIS is the source of the data the information is compelled to match. It should be mentioned that the most sensitive information is not passed on to other systems (passwords, SSNs, etc.).

PII is shared with other systems to meet business requirements, such as making loan repayment and scholarship obligations to the individual through the PSC UFMS financial system.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The Bureau of Clinician Recruitment and Service, HRSA currently has the functionality within the NIS application to process data concerning the Nursing Education Loan Repayment Program (NELRP). The current process collects application data such as identification information, qualified education, qualified loans, licensure, and employment via a web based front end, provides a process for staff to edit and manage the data, provides functionality that ranks, and awards. The data contains mandatory personal information related to the applicant, ssn, home and work address, school, loan information, and licensure information.

The Bureau of Clinician Recruitment and Service, HRSA currently has the functionality within the NIS application to process applications for the Nursing Scholarship Program (NSP). The current process collects the application data via a web based front end provides a process for staff to edit and manage the data. The data contains mandatory personal information related to the applicant, ssn, address, and school information.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) Prior to actually registering individuals are asked to read the rules or behavior, non disclosure, and Acceptable Use Policy posted on the site. They can only proceed into the system if they choose the "I ACCEPT" button on the screen which allows them to move forward. If individuals choose not to accept, then they are returned to the general information screen. This is a field that is stored in the database. Since this system is based on cycles, the data field is checked for each new cycle year and forces them to re-acknowledge/reaccept.

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: The NIS consists of a multi-tier architecture using the Windows 2003 Advanced Server Operating System with a web-based front end, a second tier of application servers, and a third tier of Microsoft SQL Server 2005 database to store the data.

Applicants and Vendors access the system via the Internet, and register for a login and password to ensure that responsibility for data can be attributed to an individual.

HRSA employees (and vendors/contractors) have access to NIS through the HRSA intranet and can also access the system through the Internet when working out of the office.

Communications between system components use the TCP/IP protocol. Applicants and vendors must use approved COTS web browsers (Microsoft Internet Explorer version 7.0 and Netscape version 6.2 or a high version) to communicate with the NIS system web servers via secure http (https) using web server digital certificates and strong encryption (128 bit) to protect data. Internal users are currently using Internet Explorer 7.0 or higher.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

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06.3 HHS PIA Summary for Posting (Form) / HRSA Strategic Work Information and Folder Transfer System (SWIFT)

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: Not Applicable

1. Date of this Submission: 12/7/2010

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number:

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Strategic Work Information and Folder Transfer System (SWIFT)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed:

10. Provide an overview of the system: The Strategic Work Information and Folder Transfer (SWIFT) system is the electronic document management program for HRSA. The tracking system is used by all Bureaus and Offices throughout the Agency as a means of increasing the efficiency of the controlled correspondence process. The types of correspondence that are entered into SWIFT include HRSA regulations and Federal Register notices; reports to Congress; memoranda and briefings to the Secretary, Deputy Secretary, Chief of Staff, and Executive Secretary of HHS; and Agency controlled correspondence. The SWIFT system stores documents electronically and allows for their quick and easy retrieval, review, and routing.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?):
No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s):
N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: 1. The SWIFT System stores and disseminates correspondence. The types of correspondence that are entered into SWIFT include HRSA regulations and Federal Register notices; reports to Congress; memoranda and briefings to the Secretary, Deputy Secretary, Chief of Staff, and Executive Secretary of HHS; and Agency controlled correspondence.

2. SWIFT is used by all Bureaus and Offices throughout the Agency as a means of increasing the efficiency of the controlled correspondence process.

3. The information does not contain PII.

4. No personal information is submitted to the system.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 1/4/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OO Full Time Equivalent/Employment Management Tracking System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 12/7/2010

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: N/A

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): OPM/GOVT-1

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Full-Time Equivalent Management Tracking System (FEMTS)

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Cora Wyatt

10. Provide an overview of the system: FEMTS provides, in a single location, personnel and payroll information drawn directly from the official systems of record for both CC and CS employees. On the personnel, or FTE, side, some important elements are equal employment opportunity (EEO) information such as pay plan, grade, series, step, position title, race, gender, supervisory status, administrative code, common accounting number (CAN), and regular and overtime hours worked by the employee. On the payroll side, several data elements are available, including pay date, gross pay, net pay, gross expenditures, and pay period. The system generates year-to-date payroll information monthly and quarterly, as requested by the user. Timeliness is ensured through regular extracts from time-attendance and payroll servers; the extracts are timed with the running of the official payroll programs.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): HRSA bureaus will review and edits reports within the FEMTS system for accuracy of data. The bureaus will only be able to view and edit data specific to their own bureau.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: (1)

Name

SSN

Date of Birth

Mailing Address

Phone Number

Email Address

Education Records

Military Status

Employment Status

(2 & 3) The data is used to search, view and report on FTE and payroll elements in the database. The PII data elements are required to sort data, provide criteria to do searches, copy records and export to Excel, and print reports. Users cannot overwrite existing data, create or delete records; all the data elements are locked to prevent input.

(4) New PII data is not being collected. This data is being extracted from existing systems so voluntary/mandatory concerns are not relevant.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) No process is in place to notify individuals that PII data that exists in the current source systems is being extracted into the FEMTS system to facilitate reporting, analysis and forecasting System owners will examine the need to add additional notification

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): No

37. Does the website have any information or pages directed at children under the age of thirteen?:

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN):

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: System is only accessible within HRSA.

User accounts must be approved by the Deputy Director , Office of Budget

System access requires authentication

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 1/4/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OO Integrated Resources Management System [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011? No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 11/10/2010

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-06-02-1440-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): 09-90-0024

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): Integrated Resource Management System

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Simeon P. Tarawali

10. Provide an overview of the system: This investment provides automated procedures for the recording, monitoring, and tracking of commitment, obligation, and disbursement transactions against allowances, and to determine the availability of funds. The investment provides reporting capabilities to support financial analysis and reporting. The centralized data, facilitates the timely sharing of budget information Agency-wide providing improved information for resource management decisions. IRMS supplements the Unified Financial Management System.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): Yes

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): Yes

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): PII will be shared with contractors who will develop the commitment register and users who are internal to HRSA.

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this

description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: HRSA will receive through automated transfer of Unified Financial Management System (UFMS) Extract Files, budget and accounting transactions which includes allowances (funding); commitments (reservations of funds); obligations (uses of funds); disbursements (liquidation of obligations); and the related accounting codes (e.g., Common Accounting Number/Budget and Accounting Classification Structure). Contained in the accounting information are document numbers which for some transactions is comprised of a prefixed social security number.   The integrated budget, accounting, and administrative data are collected to facilitate the tracking and monitoring of each commitment and obligation against allowances (available amounts). The social security number is collected by the program office.   The program office is the initial source of commitment information which includes the prefixed social security number as a document number; records can be entered in IRMS manually or through automated interfaces with HRSA systems. The obligation accounting information will be obtained from the UFMS; this data includes Entity Identification Numbers (Social Security Numbers). Data will also include the names of Federal payment recipients (e.g., individuals and organizations). The system will contain IIF which is derived from HRSA and Program Support Center systems. Note, effective from May 23, 2011, the daily generated PSC Opdiv Daily Extract will be modified by nullifying the column Entity Identification Numbers (Social Security Numbers) for HRSA.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) The commitment register will be populated with information previously processed through systems managed by HRSA and the Program Support Center, including systems that process scholarship, loans, grants, contracts, travel, training, budget, and other administrative/financial records.   Such systems include: HRSA Electronic Handbooks, HRSA scholarship/loan system, PRISM, GovTrip, and the Unified Financial Management System. Starting May 23, 2011, all records containing names and Social Security Numbers originating from the commitment register will be nullified. All of the above systems are source systems for the PSCs UFMS accounting system. The PSC provides a daily extract of records for OPDIV commitment registers; records that have been posted to the UFMS General Ledger. The sharing of this data is inherent to our agreement for accounting services with the PSC, and a system interface agreement between HRSA and the Department. The commitment register is to complement the functionality of UFMS by providing a tool to capture commitments that will be reconciled to obligations and disbursements contained in UFMS. Access to the data in UFMS is restricted to security-cleared, approved role-based users. It is intended that the users of the CR will be restricted to HRSA security-cleared approved role-based users. The CR will reside on the intranet as maintained by OIT/GSS. Password and user register along with warning banners regarding privacy protection will also be maintained by HRSA OIT and the system administrator. Individuals are notified how their IIF will be used or shared by the source systems. See HHS SORN 09-90-0024

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: Intranet Access to the system will be limited to those who currently have access to IIF data (e.g., social security numbers and names); and who are required to handle work that includes such data. All records are stored in computer data files on secure servers maintained by HRSA IT. Access to data is limited to authorized personnel in the performance of their duties. Authorized personnel include: system managers and their staff; financial and fiscal management personnel; computer personnel; and HRSA contractors. A password is required to access computer files. All users of personal information in connection with the performance of their jobs protect information from public view and from unauthorized personnel entering an unsupervised area. All authorized users sign a nondisclosure statement. All passwords, keys and/or combinations are changed when a person leaves or no longer has authorized duties. Access to records is limited to those authorized personnel trained in Government security procedures, including the Privacy Act. Contractors are required to maintain, and are also required to ensure that subcontractors maintain, confidentiality safeguards with respect to these records. Contractors and subcontractors are instructed to make no further disclosure of the records except as authorized by the System Manager and permitted by the Privacy Act.

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 3/22/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA National Training and Coordination Collaborative (NTACC)

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: New Interagency Uses

1. Date of this Submission: 11/4/2010

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: n/a

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): National Training and Coordination Collaborative / NTACC

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Cynthia Arno

10. Provide an overview of the system: The system will assist the Geriatric Education Centers (GECs) in developing, implementing and reporting evaluation data on their various programs as related to their program objectives. It will also serve as a venue to provide ongoing communication to the GECs in providing feedback from the field about the validity and reliability of current data requirements as well as experiences in collecting and reporting such information. Finally, it will provide a venue regarding the different educational opportunities that may be available within the network.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory:

The website contains educational and informational materials for the GECs on how to develop, implement and report evaluation data on their specific program objectives. The data included is information that can found in a telephone book, (e.g., name, professional credentials, office telephone number, office address, office email address). The website contains No PII.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): No

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis

Sign-off Date: 1/4/2011

Approved for Web Publishing: Yes

Date Published: August 30, 2011

06.3 HHS PIA Summary for Posting (Form) / HRSA OIT HRSA Data Warehouse [System]

PIA SUMMARY AND APPROVAL COMBINED

PIA Summary

Is this a new PIA 2011?   No

If this is an existing PIA, please provide a reason for revision: PIA Validation

1. Date of this Submission: 9/23/2010

2. OPDIV Name: HRSA

3. Unique Project Identifier (UPI) Number: 009-15-01-09-02-1350-00

4. Privacy Act System of Records (SOR) Number (If response to Q.21 is Yes, a SORN number is required for Q.4): N/A

5. OMB Information Collection Approval Number: N/A

6. Other Identifying Number(s): N/A

7. System Name (Align with system Item name): HRSA Geospatial Data Warehouse

9. System Point of Contact (POC). The System POC is the person to whom questions about the system and the responses to this PIA may be addressed: Pete Kusnick

10. Provide an overview of the system: The HRSA Geospatial Data Warehouse is a publicly-available reporting tool on the Internet. It provides a single point of access to HRSA programmatic information, related health resources, and demographic data including Google Maps, ARRA spending by HRSA, Poison Control Centers, and Nursing surveys. This promotes information sharing, collaboration, and provides government-to-government, government-to-business and government-to-citizen services that have significantly improved both the efficiency and effectiveness of the agency in delivering its mission.

The result is uninterrupted global access to comprehensive, current data on HRSA programs, the majority of which focus on improving access to care for underserved people, and key health markers.

13. Indicate if the system is new or an existing one being modified: Existing

17. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system? (Note: This question seeks to identify any, and all, personal information associated with the system. This includes any PII, whether or not it is subject to the Privacy Act, whether the individuals are employees, the public, research subjects, or business partners, and whether provided voluntarily or collected by mandate. Later questions will try to understand the character of the data and its applicability to the requirements under the Privacy Act or other legislation. Does/Will the system collect, maintain (store), disseminate and/or pass through PII within any database(s), record(s), file(s) or website(s) hosted by this system?): No

21. Is the system subject to the Privacy Act? (If response to Q.19 is Yes, response to Q.21 must be Yes and a SORN number is required for Q.4): No

23. If the system shares or discloses IIF please specify with whom and for what purpose(s): N/A

30. Please describe in detail: (1) the information the agency will collect, maintain, or disseminate; (2) why and for what purpose the agency will use the information; (3) in this description, explicitly indicate whether the information contains PII; and (4) whether submission of personal information is voluntary or mandatory: The information the agency collects relates to HRSA grants, shortage designations, scholarship awards, and loan repayments.

The information is used for by public and private individuals and agencies to promote health care to underserved areas by studying the data retrieved by the HGDW warehouse.

The information contained in the system does not house PII.

No PII data is submitted to the agency.

31. Please describe in detail any processes in place to: (1) notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of the original collection); (2) notify and obtain consent from individuals regarding what PII is being collected from them; and (3) how the information will be used or shared.

(Note: Please describe in what format individuals will be given notice of consent [e.g., written notice, electronic notice, etc.]) N/A

32. Does the system host a website? (Note: If the system hosts a website, the Website Hosting Practices section is required to be completed regardless of the presence of PII): Yes

37. Does the website have any information or pages directed at children under the age of thirteen?: No

50. Are there policies or guidelines in place with regard to the retention and destruction of PII? (Refer to the C&A package and/or the Records Retention and Destruction section in SORN): Yes

54. Briefly describe in detail how the IIF will be secured on the system using administrative, technical, and physical controls.: N/A

PIA Approval

PIA Reviewer Approval: Promote

PIA Reviewer Name: Zena Clare

Sr. Official for Privacy Approval: Promote

Sr. Official for Privacy Name: Steven R. Davis
Sign-off Date: 3/4/2009
Approved for Web Publishing: Yes
Date Published: August 30, 2011